

# JABARI-JASON TYSON-PHIPPS

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## PROFESSIONAL SUMMARY

New York-admitted General Counsel, Business Leader, and Diplomatic Security Special Agent with 20+ years of navigating sophisticated international operational, legal, and strategic planning challenges while managing enterprise-wide risk. I lead at the intersection of law and business, having served as a tech co-founder through a successful exit. As a Supreme Court practitioner currently advising a \$100M+ AUM fintech RIA and a boutique IP firm, I have a proven record of directing multifaceted litigation, regulatory compliance, and crisis-level matters in both the public and private sectors.

## PROFESSIONAL EXPERIENCE

### **LMPG Wealth Advisors, LLC | New York, NY**

#### *Fractional General Counsel*

- Serve as Chief Legal and Compliance Officer for an SEC-registered investment adviser and multi-family office, providing strategic counsel on the management of \$100M+ in assets under management (AUM)
- Direct the firmwide regulatory compliance infrastructure under the Investment Advisers Act, including SEC Rule 206(4)-1, state adviser regulations, and examination readiness
- Orchestrate the full lifecycle of complex commercial transactions, including the negotiation and execution of investment management agreements, employment contracts, NDAs, and strategic vendor partnerships
- Advise as lead counsel in high-stakes financial disputes, representing the firm in AAA arbitrations and multi-million-dollar commercial litigation to protect corporate assets and reputation
- Manage regulatory inquiries, investigations, and litigation matters, including multi-million dollar commercial disputes

### **JJTP Law PLLC | New York, NY**

#### *Private Practice Attorney (of counsel to Pierce & Kwok LLP and prior Axia/Pfizer engagement)*

- Architected strategic sourcing and contract remediation at Pfizer during a \$68B Wyeth merger integration, leading high-level operational alignment and risk mitigation as contract FTE for Axia Ltd. (now part of Accenture)
- Captured over \$50M in cost savings by auditing, optimizing, and renegotiating 300+ global agreements, ensuring risk mitigation and cross-functional compliance with IP, KYC, AML, U.K. Bribery Act, and FCPA standards
- Assumed primary executive leadership and decision-making authority for the Jackie Robinson Park of Fame nonprofit, orchestrating national trademark licensing negotiations with IMG and directing all organizational strategy
- Prevailed in intricate international IP arbitrations against top-tier firms (e.g., Weil, Gotshal & Manges LLP), defending multi-million-dollar interests for a sanctioned client under extreme time and resource constraints
- Secured federal litigation settlements exceeding client expectations by 300% through the independent management of sophisticated IP matters including *The Celebrity Source LLC v. Johnson et al.*, 1:22-cv-09440 (S.D.N.Y. 2022)
- Directed global trademark portfolios before the USPTO, managing the full lifecycle from clearance through registration, Office Action responses, and enforcement including strategic portfolio management
- Architected corporate governance and commercial contracting strategies for startups and established enterprises, negotiating critical licensing, technology, and service agreements
- Served as a strategic advisor and counsel to Grammy and Academy Award-winning artists and productions such as Precious, managing IP rights and commercial contracts for elite entertainment portfolios
- Developed and delivered specialized curricula on law, entrepreneurship, and business strategy for graduate and undergraduate programs at East Stroudsburg University of Pennsylvania
- Established and oversee the Tyson Twins Foundation Ltd., a 501(c)(3) nonprofit in honor of my mother, directing all operational, legal, and community-focused initiatives

### **U.S. Department of State, Diplomatic Security Service | New York, NY**

#### *Foreign Service Special Agent*

- Spearheaded high-stakes domestic and international investigations into complex fraud, trafficking, child abduction, and internal misconduct, ensuring comprehensive case resolution and legal compliance in sensitive environments
- Pioneered institutional modernization by authoring 260+ pages of policy analysis and legal recommendations to optimize operational frameworks and governance protocols
- Developed and advised on AI-enabled investigative tools and policies for classified and sensitive environments

- Received the U.S. Department of State Meritorious Honor Award for dismantling a major human-trafficking operation resulting in the rescue of hundreds of victims
- Directed U.S. security and counterterrorism operations as the U.S. security liaison and Attaché for the 2018 Olympic Games, coordinating interagency and host-nation efforts within the Joint Operations Center and the Olympic Village
- Managed high-profile protective details as Agent-in-Charge for U.S. Secretaries of State, U.S. Ambassadors to the United Nations, and visiting foreign leaders including John Kerry, Nikki Haley, and Libyan FM Mohamed Taher Siala

**FareHarbor Holdings Inc.** | New York, NY

*Co-founder and General Counsel*

- Co-founded and scaled a cloud-based reservations and payments platform through acquisitions and restructuring, contributing to multimillion-dollar revenue growth and a successful exit
- Directed all legal, corporate, and governance functions as the primary legal officer, managing multi-million dollar legal budgets and enterprise risk during periods of rapid international expansion
- Orchestrated high-stakes commercial and technology partnerships critical to market dominance, negotiating and closing agreements that served as the backbone of company growth
- Directed securities and regulatory strategy ensuring capital readiness and compliance including Regulation D offerings and private placements
- Served as lead strategic advisor to the CEO and board on corporate strategy, financing, and asset transactions

## EDUCATION

**Washington University in St. Louis** | St. Louis, MO

*Juris Doctor*

- National University of Singapore, International Business and Trade Law Graduate Certificate,
- American University: Washington College of Law, Business & Comparative Law Program in Chile and Argentina,
- Clerkship and Clinic: Schlichter Bogard & Denton LLP: ERISA Class Action Litigation | Entrepreneurship Clinic

**Brown University** | Providence, RI

*Artium Baccalaureus cum Honoribus* in Organizational Management, Political Science, and Archaeology

- Internships: Goldman Sachs Analyst | Citi Cards Product Manager | Nike Strategic Planning Analyst

## BAR ADMISSIONS and LICENSES

- New York State Bar
- Supreme Court of the United States
- U.S. Courts of Appeals: Second Circuit | Ninth Circuit | Federal Circuit
- U.S. District Courts: Southern District of New York | Eastern District of New York | District of Columbia
- American University Judicial Affairs and Mediation Services 20 Hours Mediation Certificate
- New York Real Estate Broker License
- New York Notary Public
- FAA Pilot Certificate

## CERTIFICATIONS and TRAINING

- Selected Foreign Service Institute: Spanish | Farsi | Visa Courses | Intellectual Property Rights | Counterintelligence
- Federal Law Enforcement Training Center: Criminal Investigator Training Program (Firearms Sharpshooter Award) | Advanced Interviewing and Interrogation Skills for Law Enforcement Investigators
- Diplomatic Security Training Center: Basic Special Agent Course- Fraud Investigations | Financial Investigation and Analysis Training | Cyber Incident Response and Analysis Training | High Threat Advanced Tactic, Leadership, and Skills | Hostage Negotiations and Personnel Recovery
- Selected Others: International Anti-Bribery & Anti-Corruption Procedures Course: Pfizer Compliance Education Center

## RECOGNITION and LEADERSHIP

- Super Lawyers Rising Star, New York Metro Area
- President, Brown Club of New York | Board Member
- Board Member, Brown Alumni Entrepreneur Group
- New York City Bar Association Trademarks Committee
- Monroe County Pennsylvania: Elected Judge of Elections